

**Report of the Chief Constable to the Chair and Members  
of the Policy & Resources / Corporate Development Panel  
5<sup>th</sup> February 2008**

**Executive & Presenting Officer: Mrs Ann Hall, Assistant Chief Officer  
(Finance & Commissioning)**

**Status: For decision**

**Capital Monitoring Report to 31<sup>st</sup> December 2008**

**1 Purpose**

- 1.1 Members approved the capital programme for 2008/09 and the capital plan for 2008/11 at their meeting on 28<sup>th</sup> February 2008. This report sets out progress against delivery of the programme and is part of the process introduced by the Authority to maintain prudent financial management.

**2 Recommendations**

Members are recommended to:

- 2.1 Note the contents of the report.
- 2.2 Approve an increase in the following budgets:
- Police Authority Refurbishment scheme - £42.0k
  - CUPID - £202.5k
- 2.3 Approve the addition of the Data Network Resilience Project at a cost of £35.0k.
- 2.4 Approve the adding back to unallocated monies the budget of the following project:
- Vehicle Data Recorders - £19.0k
- 2.5 Approve the carry forward to 2009/10 of the following budgets:
- Automatic Number Plate Recognition - £12.0k
  - E-forms - £6.8k

- 2.6 Approve that the following ICT schemes which have not yet been started, have their budgets added back to a ISIS/ICT provision fund pending a review and a risk assessment by the Project I board:
- Relocation of Force Fallback Facilities - £250.0k
  - IT Security Infrastructure - £54.0k
  - Police Records Management System - £53.0k
  - Firewall Resilience & Encryption – £45.0k
  - Criminal Justice Extranet Resilience - £20.7k

### **3 Reasons**

#### **3.1 Changes to the Programme**

Members approved a capital programme totalling £10,655k at their meeting on 28<sup>th</sup> February 2008. This included both new schemes; schemes brought forward from 2007/08 and unallocated funding.

- 3.2 The increase to the Police Authority Refurbishment budget of £42k is required in order to refurbish the Members Room, this is in addition to the Police Authority office area which was completed earlier in the year.
- 3.3 The increase to the CUPID project budget is requested due to the capitalisation of some costs which had previously been held in a revenue budget. This increase in budget is fully covered by a portion of the CUPID grant which we have been given notice of in Period 9. (3.12 refers)
- 3.4 The Data Network Resilience project is to be funded by a transfer from the ICT revenue budget and is to fund the replacement of data network routing devices at Headquarters that will resolve recent problems that have been experienced at Middlehaven.
- 3.5 The adding back of the £19.0k of the Vehicle Data Recorders budget is due to the completion of the project under budget.
- 3.6 The following carry forwards are requested:
- Automatic Number Plate Recognition £12.0k  
A delay in the completion of this project will take it past the end of the current financial year.
  - E-forms £6.8k  
Re-prioritising within ICT, as a result of Project I, means there is no longer the resource available to complete this during the 2008/09 financial year.
- 3.7 Due to Project I we are requesting that those schemes which have not yet been started have their budgets added back to the ISIS/ICT provision fund pending the completion of the review and risk assessment by the Project I Board. This has an impact on the following projects:

- Relocation of Force Fallback Facilities - £250.0k
- IT Security Infrastructure - £54.0k
- Police Records Management System - £53.0k
- Firewall Resilience & Encryption – £45.0k
- Criminal Justice Extranet Resilience - £20.7k

3.8 Subject to Members approving the proposed changes the budget now stands at £12,618.4k in 2008/09. The approval of all changes up to and including those in this report leaves the capital funding of £630.1k uncommitted. However, Members are asked to note that this does not include the expenditure expected to be incurred in the current financial year in relation to the purchase of a helicopter. (Paragraph 3.13 refers). The remainder of the unallocated monies is required to underpin the capital programme for 2009/10 and although some other bids have been received in Corporate Planning, there are no available funds to be allocated and timescales would suggest these are better placed in the 2009/10 programme.

### 3.9 Budget Monitoring

Appendix C details actual expenditure to date. Capital spend has been running extremely close to budget throughout the year to date and was £14.6k higher than planned at the end of December.

3.10 Members will note that in order to achieve the committed portion of the programme it will be necessary to incur expenditure of £4.2m in the last three months of the year. The majority of this expenditure will be incurred on the strategic capital schemes and the fleet replacement programme that are currently on target.

### 3.11 Capital Plan

As reported previously the capital plan includes three major schemes:

- Strategic Road Policing Facility (£5.25m)
- Hartlepool Refurbishment (£4.8m)
- Mobile Working Project (£2.7m)

- Strategic Road Policing Facility

Contractors are now on site at Wynyard Park, steel work has been erected and the project is currently ahead of schedule. It is scheduled to be operational by the 24<sup>th</sup> August 2009 to coincide with the expiry of the lease on Cannon Park. As it is scheduled to be operational by 24<sup>th</sup> August, some monies will be required to be carried forward at the end of the financial year, however this is being closely monitored and an estimate will be brought to Members at their next meeting.

- Hartlepool Refurbishment

As previously reported the Custody Suite is now operational and the Training Wing and Professional Development Unit completed. The Management Suite is now under construction and the overall refurbishment is expected to be completed in April 2009. The project is

expected to come in on budget. As the project is not due for completion till April 2009 some monies may be required to be carried forward at the end of the financial year, however this is being closely monitored and an estimate will be brought to Members at their next meeting.

- Cleveland Universal Police Information Device (CUPID)

The contract with the main supplier has been signed and the project will be rolled out over 3 stages. Stage 1 was to deliver 200 devices to Hartlepool District and this occurred during December with devices being issued to officers as they completed the training. The roll out to the remainder of the Force will occur during 2009/10.

3.12 During December the Force was successful in its bid for a Capital Grant from the NPIA. The grant totals £1,178,292 with payment being split over 2 years with £830,258 being received in 2008/09 and the remaining £348,034 due to be received in 2009/10. This specific grant is to be used to deliver the CUPID project that we currently have in place. The grant will therefore be used to cover the additional request for funding, of £202.5k, for the scheme. (3.3 refers). The remainder of the grant will enable the Authority to release the funding, that was previously set aside for this project, to aid the funding requirements of the Helicopter purchase.

3.13 Air Support

Members received an update on the Air Support Unit at their meeting on 18<sup>th</sup> December. As previously reported, the planning assumptions at this stage are that a new helicopter will cost approximately £5m to purchase and equip. As the majority of the purchase costs are denominated in Euros and US Dollars this price will be subject to currency fluctuations. A grant application has been submitted to secure 40% of the costs. Although the new aircraft will not be delivered until January 2011, a deposit of approximately £500k is expected to be paid in January 2009 when placing the order. At the time of writing this report the amount and date of this payment is unknown but will be confirmed to Members as soon as it is known.

## **4 Implications**

4.1 Finance

There are no financial implications other than those mentioned above.

4.2 Equal Opportunities & Diversity

Diversity considerations are kept under constant review in line with Force policies and 'Putting People First'. There are no issues arising from this report to bring to Members attention.

#### 4.3 Sustainability

This report is part of the process established by the Authority to establish sustainable annual and medium term financial plans and maintain prudent financial management.

#### 4.4 Risk

The Corporate Risk Register contains the following financial risk:

- Insufficient financial resource to deliver operational and strategic objectives.

#### 4.5 This is mitigated through:

- Robust financial planning and control processes.
- Independent 'Robustness of Estimates & Adequacy of Financial Reserves' report prepared by the Section 151 Officer.
- Contingency plans to redeploy released resource into areas of operational need.

## 5 **Conclusions**

- 5.1 This report sets out progress against delivery of the Capital Programme for 2008/09 and recommendations to make optimum use of the capital resource available to the Authority to refresh and develop the asset base for policing in the 21<sup>st</sup> Century in line with the vision of 'Putting People First'.

Sean Price  
Chief Constable

## Outline Description of Capital Schemes

### *Estates Schemes*

#### **Hartlepool Refurbishment**

Scheme to bring Hartlepool District HQ up to the same standard as the Action Stations PFI facilities.

#### **Sexual Assault & Referral Centre**

Provision of suitable secure and confidential accommodation to improve the service provided to victims of sexual assault and other vulnerable sections of the community.

#### **Headquarters (HQ) Refurbishment Programme**

Pending an agreed timescale about the continued occupation of Ladgate Lane, a refurbishment programme to improve the standard of staff accommodation in line with Health & Safety recommendations and Disability Discrimination Act compliance.

#### **Institute of Electrical Engineers (IEE) Rewiring**

Safety checks and remedial works to ensure continued compliance with the standards of the Electricity at Work Regulations Act 1989.

#### **Stockton Police Station – Lift Upgrade**

The upgrade of the 30 year old lift that is required to meet health and safety standards.

#### **Disability Discrimination Act (DDA) Modifications**

The Disability Discrimination Act 1995 places a statutory duty on organisations to make reasonable adjustments to the physical fabric of their premises to improve accessibility.

#### **Strategic Roads Policing Unit**

The development of a new fleet headquarters to replace that current location at Cannon Park.

#### **Building Management Service (BMS) Outstation Improvement**

To automate and take control of the maintenance of a buildings working environment.

#### **Police Authority Refurbishment**

The refurbishment of the Police Authority accommodation within Police Headquarters.

#### **Hartlepool Refurbishment Artwork**

Purchase of glass artwork similar to that of Kirkleatham & Middlesbrough PFI Buildings.

### **Equipment Schemes**

#### **Airwaves Equipment Replacement**

£210k has been provided for Airwaves Equipment replacement in 2008/09.

#### **Automatic Number Plate Recognition (ANPR) I & II**

The ANPR programme is largely funded by PSU grant and partnership income.

#### **Test Purchase Officer (TPO) Technical Equipment**

Equipment for the Test Purchase Officer.

#### **Public Finance Initiative (PFI) Access Control**

Server and software for the access control system for secure areas.

#### **Audio to cells and Matrix upgrade at Middlehaven**

Audio facilities and Matrix upgrade CCTV for confidentiality of suspects.

#### **Speed Awareness Module**

Enhancement to current course software for Driver Improvement Scheme for careless/inconsiderate Driving.

#### **Multi Media Equipment**

Devices for districts to enable media to be converted to standard format accepted by court.

#### **Data Network Resilience**

Purchase of replacement routers to reduce the risk of single point of failure on the data network.

### **ICT Schemes**

#### **Cleveland Universal Police Information Device (CUPID)**

This project will deliver Force wide mobile working for operational officers and police staff. Mobile working was identified as one of the main strands of the IS&T Strategy 2005-8 and is a key element of the Chief Constable's vision for the Force, providing Officers with access to the information and services they require to enable them to remain within their communities.

#### **Holmes 2 Servers**

It is planned to refresh the technology or upgrade existing major systems every 5 years. The current Holmes 2 Servers are coming to the end of their expected lifespan and will not support future releases of the application.

#### **Police Records Management (IRIS)**

Update the Police Records Management systems due to expansion as set out in the Force Change Programme.

#### **Communications Service Provider (CSP) - Secure Information Software**

Dedicated software to meet the requirements of the covert policing system.

### **Enterprise Content Management**

To enable Cleveland Police to provide relevant information to the public in line with E Government guide lines by (a) published information to the public (b) provide means for the public to contact the police.

### **IT Security Infrastructure**

Accreditation for connection to the CJX network requires IT infrastructure to be in place to ensure the Force network remains secure from unauthorised access & malicious attack. Additional hardware and software components are necessary to ensure the Force remains accredited.

### **IMPACT Data Preparation**

The IMPACT programme was developed nationally in response to Bichard Enquiry to introduce data quality software to enable the Force to measure the quality of crime recording and management, intelligence, child protection and domestic violence data against the national IMPACT solution. There is now an urgent requirement to use the same tool to clean data prior to the IRIS Intelligence Module going live and enable the ongoing monitoring and cleansing of data.

### **Criminal Justice Extranet (CJX) Resilience**

The Force funds 2 CJX links that provide the connectivity onto the CJX network. This dual link provides resilience onto the CJX network to eliminate the loss of access to CJX should an individual route be lost.

### **Firewall Resilience and Network Encryption**

To upgrade the firewall to protect the force network from unauthorised external access. Also the implementation of systems to prevent unauthorised internal access to confidential data.

### **Vehicle Data Recorders**

Vehicle 'black boxes' to provide a significant improvement in the Fleet Management Information System.

### **Storage Management & Disaster Recovery Capability**

Rapid growth in the volumes of electronic data has lead to severe pressures on the current data storage infrastructure, exacerbated by legal and business requirements around retention. There are also deficiencies in the current Disaster Recovery (DR) plan.

### **E-Forms**

E-forms technology utilises on-line forms and workflow functionality to reduce the number of forms, reduce bureaucracy and improve efficiency.

### **ABACUS - Overtime & Expenses**

Abacus will replace the current overtime and expenses systems and will provide stability, enhanced reporting ability, and increased automation.

**NSPIS HR Origin & Self Service**

This project is for the upgrade of the Duty Management System(DMS) portion of the system from NSPIS to ORIGIN.

**Relocation of Force Fallback facilities**

Migration of IT and Communications equipment from Park Road South to Middlehaven. Expansion of fallback facility for Control Room.

**IRIS Server Upgrade**

Replacement of Servers for Major Operational System.

**Upgrade to Voice Over Internet Protocol (VOIP)**

Replacement of telephony technology.

**LOCARD Upgrade**

Implementation of new version of Scientific Support Management System.

**Unified Messaging Resilience**

Procurement and implementation of additional hardware to ensure unified messaging system is always available.

**Server Upgrade**

Replacement of servers.

**Integrated Risk & Performance Management**

Software to support Forces risk management process.

**CLIO Software**

IT system for management of activity in relation to urgent incidents.

**Optical Character Recognition (OCR) Technology**

Police Support Unit Project.

**Officer Enforce Software**

Software program for the use of the Information Security Officer.

**PFI Schemes**

**PFI Sites Voice & Data (1 & 2)**

To provide voice & data networked facilities into the PFI sites at L District HQ, South Bank & Redcar Town Offices and upgrade the equipment at Police HQ. The 2005/06 PFI capital bid focused on M District HQ's telephony requirements.

**Fleet Schemes**

**Fleet Replacement Programme**

The programmed replacement of fleet vehicles to maintain operational effectiveness.