

**Joint Report of the Treasurer and Chief Constable
To the Chair and Members of the
Audit and Internal Control Panel
14th July 2011**

**Executive and Presenting Officer: Michael Porter, Treasurer
Ann Hall, ACO (F&C)**

**Report Author: Michael Porter
Status: For Agreement**

**The Annual Governance Statement
Statement of Key Controls**

1. Purpose of this Report

- 1.1 This report is intended for the Audit and Internal Control Panel to review and comment on the Statement of Key Controls as part of the process of establishing the assurance framework in support of the Annual Governance Statement

2. Recommendations

Members are asked to

- 2.1 Agree the Statement of Key Controls as set out at Appendix A.

3. Reasons

- 3.1 At its meeting on 11th December 2007 the Police Authority Executive received and agreed a report in relation to the requirements to produce an Annual Governance Statement. The methodology used has been to follow the CIPFA "Rough Guide" in this case - "The Annual Governance Statement – meeting the requirements of the Account and Audit Regulations 2003 – Incorporating Account and Audit (Amendment)(England) Regulations 2006".
- 3.2 As part of the framework for preparing the Annual Governance Statement a Statement of Key Controls has been collated, this is one of

the Sources of Assurance which underpin the provision of assurance on the adequacy and effectiveness of controls over key risks.

- 3.3 The extent to which there is evidence to support compliance with these key controls have been reviewed by those individuals identified within the appendix as the source of assurance to be relied upon.
- 3.4 As Members will appreciate the vast majority of the conclusions are positive i.e. "Yes". Some conclusions are "Partial" because there is work in progress as part of the wider development of governance arrangements.
- 3.5 The direction of travel arrows, within Appendix A, illustrate significant changes whether positive or negative compared to the assessed position for last year.

4 Implications

4.1 Finance

There are no specific monetary implications within the report. Activity relating to making improvements is being met from existing budgets.

4.2 Sustainability

Servicing the AGS process flows out of the Authority's and Force's processes & procedures and as such is accommodated within existing staffing resources.

4.3 Diversity and Equal Opportunities

There are no diversity or equal opportunity implications in this report.

4.4 Human Rights Act

There are no Human Rights Act implications arising from this report

4.5 Risk

This report is focused on organisational health and governance issues which if not addressed represent reputation risks to both the Police Authority and the Force.

5 Conclusions

- 5.1 This report represents the progression of advice in the CIPFA – Rough Guide to the AGS.

Michael Porter
Treasurer

Sean Price
Chief Constable