

**Report of the Chief Constable to the Chair and Members  
of the Policy & Resources Panel  
30<sup>th</sup> June 2011**

**Executive & Presenting Officer: Mrs Ann Hall, Assistant Chief Officer  
(Finance & Commissioning)**

**Status: For decision**

**Capital Outturn 2010/11**

**1. Purpose**

- 1.1 Members approved the capital programme of £10,209k for 2010/11 and the capital plan for 2010/14 at their meeting on 25<sup>th</sup> February 2010. This report sets out the progress against delivery of the programme and is part of the process introduced by the Authority to maintain prudent financial management.

**2. Recommendations**

Members are recommended to:

- 2.1 Note the capital outturn position for 2010/11.
- 2.2 Approve the carry forward of additional unutilised funds in the provision for business cases of £158.9k taking the total carry forward to £307.2k.
- 2.3 Approve the utilisation of £47.1k of those funds carried forward to offset the reduction in funding confirmed by the Government in April 2011.
- 2.4 Note the addition on a vehicle purchased for the Camera Safety Partnership at a cost of £20.6k.
- 2.4 Approve the transfer from revenue of partnership funds of £20.6k to fund the above vehicle.

- 2.6 Approve the carry forward of £3,015.3k for the following schemes into the 2011/12 Capital Programme:

<b>Scheme</b>	<b>£000</b>
Kirkleatham Car Park	5.0
New HQ	367.3
Impact Data Preparation	10.8
Cleveland Universal Police Information Device (CUPID)	738.9
Criminal Justice Extranet (CJX) Resilience	12.4
Identity & Access Management	21.2
Confidential Environment / Network Encryption	121.3
St Hilda's PFI Communications Fallback Project	5.2
WSIA Data Hub	3.8
Air Support Programme	80.0
Project I SIPs	1,442.7
Fleet Replacement	206.7

### **3. Reasons**

- 3.1 This report is part of the process agreed by the Authority to establish annual and medium term financial plans and maintain prudent financial management.
- 3.2 Members approved a capital programme totalling £10,209k at their meeting on 25<sup>th</sup> February 2010. The programme included new schemes; schemes carried forward from 2009/10 and unallocated funding. Members have received monitoring reports throughout the year.
- 3.3 This report is the final report for 2010/11. It sets out the year end position against the approved programme and is consistent with the Revenue Outturn Report and Treasury Management Outturn Report that are also on today's agenda.
- 3.4 The Authority approved the carry forward of £148.3k in the Provision for Business Cases at their meeting on 31<sup>st</sup> March 2011. This was the position as at the end of February and during March, there were two movements which now result in the request for a further £158.9k to be carried forward:
- underspends across the programme of £113.7k on a number of schemes which were completed at a lower than anticipated cost
  - capital receipts of £45.2k on the sale of vehicles.
- This takes the total provision to be carried forward to £307.2k.
- 3.5 A vehicle was purchased for the Camera Safety Partnership but as this was over the capital deminimus of £10,000, this needs to be added to the 2010/11 Capital Programme. The vehicle was partnership funded and therefore approval is also sought for the transfer from revenue of £20.6k in order to fund the capital purchase.

- 3.6 When the plan for 2011/12 was approved by the Authority on February 25<sup>th</sup> 2011, the only information received regarding the capital grant indicated a receipt of £1m. This has now been clarified to be a receipt of £952.9k; and therefore the request is made for £47.1k from the Provision for Business Cases to be utilised in 2011/12 to fund this shortfall.
- 3.7 At the meeting on 31<sup>st</sup> March 2011, Members approved carry forwards on specific schemes totalling £3,558.6k. This is now reduced to £3,015.3k (as shown in table 2.6), primarily for two reasons:
- The Project I Service Improvement Plans (SIPs) spent £319.2k more than previously forecast
  - The New HQ project spent £211.7k more than previously forecast.
- 3.8 It should be noted that these results are presented subject to audit. Any amendments resulting from the audit will be presented to a future Authority meeting.

## **4. Implications**

### **4.1 Finance**

An outline description of each scheme approved for 2010/11 is given at Appendix A for Members' information. The budget approved for specific schemes as per the period 11 report, after carry forwards approved in that report, stood at £8,816.2k. With the recommendations in this report, that approved budget increases to £9,266.4k.

4.2 The total spend for the year equates to this £9,266.4k against an initial approved budget of £10,209k. However Members approved many changes to this initial approved budget during the year which took the overall budget to £13,531.5k. These are detailed by project at Appendix B for Members' information.

4.3 In total £3,322.5k of funding is to be carried forward into 2011/12. The table overleaf illustrates the split of funding requested to be carried forward at Period 11 and the movement to the requests made in the report in light of the year end position.

<b>Table 1: Carry Forward Movement</b>	<b>Period 11</b>	<b>Movement</b>	<b>Outturn</b>
	<b>£000</b>	<b>£000</b>	<b>£000</b>
ICT Schemes	910.5	3.1	913.6
Project I SIPs	1761.9	(319.2)	1442.7
Fleet	222.2	(15.5)	206.7
Air Support	80.0	0.0	80.0
Estates	584.0	(211.7)	372.3
<b>Carry forward (specific schemes)</b>	<b>3,558.6</b>	<b>(543.3)</b>	<b>3,015.3</b>
Provision for business cases	148.3	158.9	307.2
<b>Total</b>	<b>3,706.9</b>	<b>(384.4)</b>	<b>3,322.5</b>

Appendix C provides a detailed schedule of all budgets to be carried forward.

#### 4.4 Equal Opportunities & Diversity

Diversity considerations are kept under constant review in line with Force policies and 'Putting People First'. There are no issues arising from this report to bring to Members attention.

#### 4.5 Human Rights Act

There are no Human Rights Act implications arising from this report.

#### 4.6 Sustainability

This report is part of the process established by the Authority to establish sustainable annual and medium term financial plans and maintain prudent financial management.

#### 4.7 Risk

There are no further risks to bring to Members' attention other than those outlined in the body of the report.

### 5. **Conclusions**

5.1 This report sets out progress against delivery of the Capital Programme for 2010/11 with a year-end underspend of £3,322.5k. This funding is to be carried forward into 2011/12 to complete schemes already approved. The Capital Programme for 2011/15 is based upon these carry forwards occurring.

5.2 Management of capital budgets has improved during 2010/11; minor slippage has occurred but project managers are more informed and communication is earlier and of improved quality. Capital Management will remain an ongoing area of focus.

## Outline Description of Capital Schemes

### Estates Schemes

#### Disability Discrimination Act (DDA) Modifications

The Disability Discrimination Act 1995 places a statutory duty on organisations to make reasonable adjustments to the physical fabric of their premises to improve accessibility.

#### HQ Lift Refurbishment

The refurbishment of the four goods lifts at Headquarters.

#### Fleet HQ Wynyard

The development of a new fleet headquarters to replace the current location at Cannon Park.

#### Relocation of Emergency Planning Unit

Relocate current joint multi-agency EPU to part of local authority building fit for purpose.

#### Jutland Road

Relocation of current estates offices to satisfy local need and enhance service delivery.

#### Refurbishment of Stables & Kennels

Upgrade both buildings to provide better environment for personnel and animals, complying with health & safety and animal welfare issues.

#### Kirkleatham Car Park

Provision of additional spaces to the existing car park layout.

#### Fire Suppression System - Stockton PABX room

Install gas fire suppression system to ensure critical communication systems remain operational & safe from collateral damage in the event of a fire situation.

#### Fuel Tank Replacement - Boulby Mast

Replace existing fabricated fuel storage tank, fuel supply and return pipe work to conform to current ISO7840 regulations.

#### Vulnerable Persons Unit

Outstanding legal fees for this completed scheme. To be funded by a transfer from revenue.

#### Stockton CCTV

Refurbishment of Custody CCTV system at Stockton Police Station

#### New HQ

The planning and build of new Headquarters.

### Ladgate Lane Preparation for Sale

The Preparation for sale of land and buildings of present headquarters.

### **Equipment Schemes**

#### Night Vision Scopes

Procurement of 2 night vision scopes in connection with the Firearms collaboration project with Durham to assist with a night-time hostage situation.

#### Video Editing Studio

Provide Video Editing Studio to allow work to be done in-house rather than externally.

#### Car Recording Equipment

In-car recording equipment to replace out-of-date equipment and to reduce the risk of loss of evidence.

### **ICT Schemes**

#### Cleveland Universal Police Information Device (CUPID)

This project will deliver Force wide mobile working for operational officers and police staff. Mobile working was identified as one of the main strands of the IS&T Strategy and is a key element of the Chief Constable's vision for the Force, providing Officers with access to the information and services they require to enable them to remain within their communities.

#### IMPACT Data Preparation

The IMPACT programme was developed nationally in response to Bichard Enquiry to introduce data quality software to enable the Force to measure the quality of crime recording and management, intelligence, child protection and domestic violence data against the national IMPACT solution. There is now an urgent requirement to use the same tool to clean data prior to the IRIS Intelligence Module going live and enable the ongoing monitoring and cleansing of data.

#### Criminal Justice Extranet (CJX) Resilience

The Force funds 2 CJX links that provide the connectivity onto the CJX network. This dual link provides resilience onto the CJX network to eliminate the loss of access to CJX should an individual route be lost.

#### Stockton upgrade to Voice Over Internet Protocol (VOIP)

Replacement of telephony technology at Stockton District.

#### Identity & Access Management

IAM is a nationally mandated programme which will be used for identification, authentication and authorisation of any of those staff using any police computing systems across the whole UK Police Service.

### Confidential Environment / Network Encryption

The Criminal Justice Extranet (CJX) data network was created to provide all criminal justice agencies with secure data networking. New national applications are to be delivered to Forces over the CJX network which requires a more secure environment than is provided by the RESTRICTED level of security. To provide this more secure platform the CJX network is being upgraded to CONFIDENTIAL.

### Airwaves Replacement Programme

The upgrade and replacement of the airwaves hand held operator consoles.

### St Hilda's PFI Communications Fallback project

This scheme is to expand the fallback facility for the Communications Service Unit. The scheme was previously in the 2008/09 program at a cost of £250k: however it was placed on hold and has been reintroduced to the program at a reduced cost.

### Highways Agency Interface

The project will run solely to include the installation, testing and certification of the interface as installed by Intergraph. The capital cost being nil to Cleveland Police and the Authority.

### Saadian PINS

Prisoner release tracking system.

### WSIA Data Hub

The procurement of an interface to allow the provision of HR data to the Home Office Data Hub.

### OAS Name Chaser Pro

Upgrade to quick address system.

### Microsoft Licences

Purchase of additional licences.

### Air Support Unit Downlink

Provision of infrastructure to receive and disseminate video footage from the helicopter via digital downlink.

### Enterprise Backup

Additional capacity to Enterprise Backup and Archiving to meet Force business continuity and disaster recovery strategies.

### Oracle ERP Implementation

Reinforcing the existing Oracle application and extending functionality by adding additional features to support the back office services.

### Remote Access

The expansion of the capability of the existing solution to more users by implementing Citrix Access Gateway appliance.

### Enhancement of CUPID Functionality

The provision of the specification, design, test and deployment of the enhanced functionality for CUPID along with a training programme for users.

### ITIL Phase 1

Delivering the ITIL process for Incident, Problem, change and configuration management for Cleveland.

### ITIL Phase 2

Continuation of phase 1 and Delivery of the ITIL process for availability and IT Service continuity and management.

### Service Catalogue

The development of the Authority's service catalogue describing services available to users with KPI's that are being delivered for each service unit.

### Service Desk Installation

A distinct service desk contacted via a single telephone number to cover both business and ICT queries, supported by a single service desk application and common infrastructure platform.

### Remote Managing

Monitoring tools which are configured to send alerts to the partner's remote operations centre ensuring systems are working to full potential.

### Deploy SharePoint

The re-launch of SharePoint including a upgrade to SharePoint Foundation Server 2010, migration of content and the development of the corporate brand.

### Enhanced Skills and Capacity Planning

Bringing new skill-sets to ensure that the service can be delivered to the contracts required SLA's.

### Infrastructure Virtualisation

The use of virtualisation technology as a platform for ongoing service delivery.

### Asset Lifecycle Automation

To facilitate an improvement in the whole end to end asset lifecycle management via the deployment of Microsoft Asset Management.

### Disaster Recovery and Test Systems

The creation of a Disaster Recovery Service using the Authority's Middlehaven building for ICT systems identified.

### STORM and Intergraph Decommissioning

The replacement of the communications centre Intergraph Command and Control with Storm Command and Control.

### Control Room Telephony

Improvements to the current control telephone procedures.

### Mobile Billing Application Implementation

The implementation of 'Bill Manager', an on-line system which will cut central invoice administration and improve personal call refunds.

### Back Office Re-organisation

The delivery of a transformed provision of business services support through an outsourcing arrangement and the implementation of a back office process and organisational transformation programme.

### Continuity Plan & Test

Preparation for continuity of business operations in the event of an incident disabling the ability of partner staff to reach of work in the Shared Services Centre (SSC) location.

### Estates & Facilities

To structure a team with simplified reporting that have skills and capacity to deal with demand / fluctuations.

### District Support

Dedicated on site support for HQ and District management teams for a wide range of specialist skills, processes and technology.

### Post Print and Scanning

Centralisation of inbound and outbound post services followed by the delivery of a rationalisation of print services and the implementation of a scanning solution.

### Callback and IIZUKA

The introduction of IIZUKA CSM which will work along side STORM.

### External Communications

Improving external communication and customer focus by the development of first contact resolution, channel management, enhanced customer experience, call backs and appointments.

### Control Room Operations

Enhance the working environment by implementing a three team structure which will improve customer service delivery.

### Control Room Re-organisation

Making flexible use of resources within control room and align the pattern of resources to fit demand.

### District Enquiry & Cash Handling

District enquiry staff will support visitors to the police location as well as supporting police officers and Authority staff.

Quality Improvements (Control Room - Centric)

Capturing public feedback on performance in a structured and fully audited manner.

Shared Services Centre (SSC) Set Up

Restructure of teams and the transfer of service delivery staff and working materials between old and new operating locations.

Service Desk Setup

The implementation of a service desk within the shared service centre which will deal with ICT and business queries.

Community Justice Case File Preparation

Upgrade to an electronic case file system.

Police Operational Services Business Continuity

Production of Partner element of Authority business continuity and disaster recovery plan.

***Fleet Schemes***

Fleet Replacement Programme

The programmed replacement of fleet vehicles to maintain operational effectiveness.

***Air Support Schemes***

Air Support Programme

The purchase of a helicopter and set up costs at Durham Tees Valley Airport for the new Cleveland Air Operations Unit.