

**Joint Report of the Treasurer and Chief Constable  
To the Chair and Members of the  
Audit and Internal Control Panel  
3<sup>rd</sup> June 2010**

**Executive and Presenting Officer: Paul Kirkham Treasurer  
Ann Hall ACO (F&C)**

**Report Author: Paul Kirkham  
Status: For Information**

**Annual Governance Statement 2009-2010  
Review of Internal Control and AGS Assurance gathering  
process**

**1. Purpose of this Report**

- 1.1 This report is intended for the Audit and Internal Control Panel to formally record that the document "Review of Internal Control and the Annual Governance Statement Assurance gathering process" has been made available to Members.

**2. Recommendations**

That Members

- 2.1 Note that the working document "Review of Internal Control and the Annual Governance Statement Assurance gathering process" was circulated to all Members of the Police Authority on 27<sup>th</sup> May 2010.

**3. Reasons**

- 3.1 At its meeting on 11<sup>th</sup> December 2007 the Police Authority Executive received and agreed a report in relation to the requirements to produce an Annual Governance Statement. Members were advised that the mechanism to be used was to be the same group that prepared the Statement of Internal control (SIC). As with the SIC work the methodology used has been to follow

- 3.2 Elsewhere on this agenda there are other reports arising from various elements of the advice in the Rough Guide.
- 3.3 As part of the framework for preparing the Annual Governance Statement, the PURE & Governance Work Group has followed through the Rough Guide and sought evidence to demonstrate the extent to which control arrangements are in place and working, and identify areas for improvements. The result of this work is contained within a relatively lengthy document which also contains links to supporting evidence.
- 3.4 All Authority members have been invited to a briefing on 1<sup>st</sup> June 2010 which comprises an interactive presentation of the document. Detailed questions and comments were dealt with by your officers. Should there be any significant issues arising from that presentation which require reporting to the Audit and Internal Control Panel, they will be brought forward at today's meeting.
- 3.5 In compliance with practice agreed in 2007, a hardcopy of the Assurance document was circulated to Members on 27<sup>th</sup> May 2010.

#### **4 Implications**

- 4.1 Finance  
There are no specific monetary implications within the report. Activity relating to making improvements is being met from existing budgets.
- 4.2 Sustainability  
There are no additional staffing implications.
- 4.3 Diversity and Equal Opportunities  
There are no diversity or equal opportunity implications in this report.
- 4.4 Risk  
This report is focused on organisational health and governance issues which if not addressed represent risks to the reputation of the Police Authority and the Force.

#### **5 Conclusions**

- 5.1 This report represents the progression of advice in the CIPFA – Rough Guide to the AGS.

Paul Kirkham  
Treasurer

Sean Price  
Chief Constable