

AUDIT AND INTERNAL CONTROL PANEL OUTSTANDING RECOMMENDATIONS – December 2011

Meeting Date	Report Title and Recommendation	Action Required	Responsibility	Update/Discharged
03.06.10	Annual Governance Statement 2009-2010 - exclusion of the press and public from public meetings	Annual Refresher Training to be held annually for Members on the Annual Governance Statement - Forthcoming Members briefing on the Annual Governance Statement and the Code of Corporate Governance. Also exclusion of the press and public from public meetings.	Treasurer	<u>Update 10.8.10</u> Included with-in Corporate Governance Briefing 5.10.10 <u>Update</u> Briefing scheduled for 05.10.10 postponed re-scheduled for 09.11.10 <u>Update</u> to be held 2011 <u>Update</u> Briefing to be arranged at 6 September 2011
21.4.11	Standing Financial Instructions	The ACO F+C informed Members that the information had also been given to Steria and that Steria had been requested to report back on how they are complying on SFI's. Internal Audit to review and report compliance to Members . ACO to update Members when Internal Audit will be reviewing.	ACO F+C	<u>Update</u> ACO F&C to report at October meeting. <u>Update 18.8.11</u> Steria to provide update. Update by ACO F+C.

14.6.11	Internal audit follow up report	Deferred to future meeting.	Internal Audit.	Update Follow up report to October meeting. DISCHARGED
14.6.11	Audit Panel Self-Assessment	The Panel Chair, Vice Chair and Treasurer to report back after the Police Authority AGM, on a system to be adopted to complete this collectively be agreed.	Treasurer	Update 18.8.11 To be timetabled.
18.8.11	Exemptions to Standing Orders	An update to be provided regarding in-house contracts.	Treasurer	
20.10.11	Matters arising from the meeting 18 August 2011.	With reference to the Audited Statement of Accounts Members were informed that the Panel Chair and Vice Chair would be liaising with the Treasurer with a view to discharging such.	Panel Chair / Treasurer	
20.10.11	Matters arising from the meeting 18 August 2011.	With regard to the Interim Audit Commission report the Panel Chair and Acting Chief Executive spoke with the Audit Commission and a final report to be brought in December 2011 coupled with a briefing before hand.	Panel Chair / Acting Chief Executive / Treasurer	
20.10.11	Cleveland Police Authority Risk Register	The Service Improvement Manager informed Members that an action would be added to the Risk Register update for the next meeting of the Panel.	Service Improvement Manager	Update 8 December 2011 To bring to 22 Feb 2012 meeting with the CPA Risk Register Update.
20.10.11	Annual Governance	Members sought clarification regarding the Audit Commission's comments in its Interim	Treasurer	

	Statement	Report regarding the scrutiny of contracts. The Treasurer to make further investigations.		
	Internal Audit follow up report	It was agreed that progress towards implementing agreed Internal Audit recommendations in categories 'fundamental' and 'significant' be reported to alternate meetings of the panel in cases where the original implementation date had not been met. This report to be from the action owner.	ACO F+C & Treasurer	<u>Update 8 December 2011</u> Updates to be provided by the action owner.